

Optimization of Hierarchical System for Data Acquisition

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Abstract. *Television broadcasting over IP networks (IP-TV) is one of a number of network applications that are except of media distribution also interested in data acquisition from group of information resources of variable size. IP-TV uses Real-time Transport Protocol (RTP) protocol for media streaming and RTP Control Protocol (RTCP) protocol for session quality feedback. Other applications, for example sensor networks, have data acquisition as the main task. Current solutions have mostly problem with scalability - how to collect and process information from large amount of end nodes quickly and effectively? The article deals with optimization of hierarchical system of data acquisition. Problem is mathematically described, delay minima are searched and results are proved by simulations.*

Keywords

Data acquisition, multicast, SSM, multimedia, RTP/RTCP, sensor networks.

1. Introduction

Many network applications use plain centralized model with one data centre where all pieces of information from end nodes are directly sent, collected, then processed and available for later evaluation. There is no problem with data acquisition and with data processing provided the number of data sources is fairly low and data flows are weak and low frequent. When these conditions are not fulfilled either the center itself or data links to the center can be overloaded or allowed data transmission frequency is very low. When the data acquisition is auxiliary procedure of the service, the available bandwidth for such procedure is strictly limited and the situation becomes even worse. This is the case of applications like IP-TV where the main task of the service is the multimedia streaming using RTP protocol and the multicast transmission and the session quality parameter collection using RTCP protocol is an optional though useful supplementary service [1], [2], [3]. The transmission capacity of RTCP is limited for 5% of total service bandwidth and it causes large delays in sending RTCP (feedback) data from each receiver for large-scale media streaming services based on Source-Specific Multicast (SSM), [8]. Similar problem arises also

with other applications focused on data acquisition in the case of large-scale systems.

There are also other models of data collection and processing in the data network environment. In addition to the centralized model mentioned above, there are hierarchical and distributed models available. The hierarchical system is created by a tree of servers with local data and using links among them the requested information can be found and transported through the tree hierarchy. The third model is distributed model where the pieces of information are distributed among equivalent data centers and using one sophisticated directory services system with one account requested information can be obtained.

2. Hierarchical Data Acquisition System

2.1 Tree Architecture Design for Data Acquisition

To combat the problem with scalability the hierarchical system for data acquisition was proposed in [6], [7] and modified in [8]. In addition to the data center and data sources such tree contains special nodes called summarization nodes, see Fig. 1.

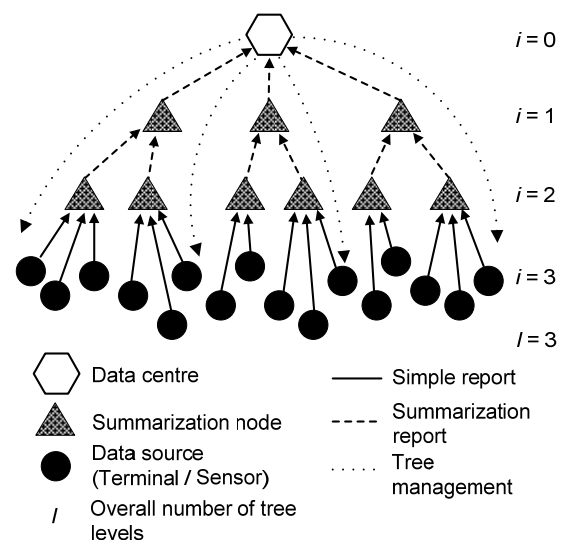


Fig. 1. Tree design for large-scale data acquisition.

Pieces of data are periodically sent from data sources (terminals or sensors) to an assigned summarization node. The summarization node aggregates data from a group of terminals of the size n_B and again periodically sends to an assigned summarization node at the higher level. The summarization nodes are organized into groups of size n_S .

The data aggregation depends on the kind of network application. This change from flat to hierarchical system requires a number of additions and brings other problems that should be addressed.

First it must be specified which kind of information must be transported from end nodes without aggregation and which data can be processed in summarization nodes and transported in the form of histograms.

Optionally in addition to the summarization process (in such a case the detailed information about particular end node is lost) the summarization nodes can store detailed information obtained from terminals or lower level summarization nodes for some time period to allow the data center to get detailed information about particular terminal or group of terminals when necessary.

The other problem is how to manage the tree when the number of terminals rises or declines and how to keep it in a balanced form. In addition to this the problem of limited number of required summarization nodes should be addressed. At the beginning of our research we considered that the summarization nodes are only terminals with special functionality [8]. It was found that there would be lot of overhead with the management of such tree especially when the tree is variable in a large extent, i.e. the terminals will enter and leave the session frequently; this is the case of multimedia streaming sessions. Also this functionality would require additional power and energy from the terminals and that is unwanted issue especially in the case of wireless terminals (sensors) with limited computational power and energy. Therefore in later research ([9], [10], [11], [12]) the summarization nodes are considered as special nodes (or software modules) that are managed by the service provider. Such summarization nodes have higher computational power, larger storage capacity for temporary data, fixed location and always available. The last but one feature is very important when the tree structure is established according to the location of terminals.

Tree establishment according to the terminal location is very useful because it optimizes link lengths between connected nodes in the tree hierarchy, so that it speeds up message delivery, saves the network resources and overall energy consumption (mainly important in wireless networks). This task is quite difficult to solve due to Internet complexity and due to variability of transport conditions. Several methods how to find the terminal location in the Internet have been studied, new protocol TTP (Transmission Tree Protocol) and results have been published, [10], [11] and [12].

As the large number of terminals (end nodes) is divided into many smaller groups the bandwidth restriction

is not the problem and the message transmission period of the terminals remains fairly low even if the overall number of terminals rises. Especially this is the case of multimedia multicast sessions which can vary substantially in size from several hundreds up to millions. The overall delay between the time instant when data is generated (or measured) in the terminal (sensor) and the time instant when the data is received in the data center consists of particular transmission delays between transmission instants of adjacent layers in the tree.

When the tree consists of I layers, i.e. $(I-1)$ summarization layers and one terminal layer, a formula for the overall delay T_{RT} between data generation (measurement) and its reception in the data processing center can be derived:

$$T_{RT} = \tau_{MT} + \sum_{i=1}^{I-1} \tau_i, \quad (1)$$

provided the transport delay through the network is neglected. Variable τ_{MT} is the delay between measurement (data generation) and transmission instants and τ_i is the delay between summarized message transmission instants at linked summarization nodes in adjacent layers. The worst case for the delay will be when all summarization nodes at all levels of the tree and also the terminals (sensors) are synchronized, i.e. all of them transmit messages at the same time instants. Provided that the transmission periods will be the same through whole the tree the formula (1) changes into the form

$$T_{RTW} = T_{RR} + T_{\Sigma RT} = T_{RR} + (I-1)T_{\Sigma R} \quad (2)$$

where T_{RR} is the transmission period of the group of terminals (it depends on the number n_B of terminals in the group, message length and the allocated bandwidth, [8]), $T_{\Sigma RT}$ is the maximum overall delay through all layers of summarization nodes, I is the number of levels in the tree (it depends on the total number of terminals, on the number of terminals in the group n_B and on the number of summarization nodes in the group n_S) and $T_{\Sigma R}$ is the message transmission period of a summarization node group (it depends on the number n_S of summarization nodes in the group, summarization message length and the allocated bandwidth, [8]).

3. Tree Optimization

When a service provider intends to implement a service based on the tree architecture described above in real situation, some initial conditions have to be considered before tree architecture implementation: bandwidth BW_A (or maximum data flow) allocated for the data acquisition (it will be allocated both for a group of terminals and summarization nodes; bandwidth is expected to be the same for both groups in most cases, but generally it can be different, i.e. BW_{AR} and BW_{AS}), expected number of data sources (terminals) n_T , maximum acceptable period (or

delay) of data collection $T_{R\max}$, length PL_{RR} of plain messages generated by the terminals, length of summarization packets length $PL_{\Sigma R}$ generated by summarization nodes, minimum periods of message transmission in a group of terminals $T_{RR\min}$ ($T_{RR} \geq T_{RR\min}$) and in a group of summarization nodes $T_{\Sigma R\min}$ ($T_{\Sigma R} \geq T_{\Sigma R\min}$). Additional constraint can be also maximum overall number of summarization nodes $N_{ST\max}$ that are available. The goal is to find such a tree which meets all of these conditions and restrictions, possibly with minimum costs.

Equation (2) shows how to calculate the longest overall delay T_{RTW} (and also the maximum time period of data acquisition) between data generation (measurement) in terminals (data sources) and its reception in the data processing center. It can be worked out in more detailed form:

$$\begin{aligned} T_{RTW} &= T_{RR} + (I-1)T_{\Sigma R} = \tau_R n_B + (I-1)\tau_\Sigma n_S = \\ &= (BW_A)^{-1} [PL_{RR} n_B + (I-1)PL_{\Sigma R} n_S], \end{aligned} \quad (3)$$

where τ_R is the portion of period for a message sent by one terminal, τ_Σ is the portion of period for a message sent by one summarization node, n_B is the number of terminals in one group of terminals, n_S is the number of nodes in the group of summarization nodes, I is the number of levels in the tree, PL_{RR} is the message length generated by terminals and $PL_{\Sigma R}$ is the message length generated by summarization nodes.

The number of levels with summarization nodes in the tree, i.e. the value $(I-1)$, can be calculated from the condition

$$n_S^{(I-2)} < \frac{n_T}{n_B} \leq n_S^{(I-1)}. \quad (4)$$

Then

$$(I-1) \geq \log_{n_S} \left(\frac{n_T}{n_B} \right). \quad (5)$$

As I is an integer number the nearest higher integer will be

$$(I-1) = \log_{n_S} \left(\frac{n_T}{n_B} \eta_I \right); \quad \eta_I \in \langle 1, n_S \rangle. \quad (6)$$

Then (3) changes into form

$$\begin{aligned} T_{RTW} &= \tau_R n_B + (I-1)\tau_\Sigma n_S = \\ &= \tau_R n_B + (\tau_\Sigma n_S) \left[\log_{n_S} \left(\frac{n_T}{n_B} \eta_I \right) \right]. \end{aligned} \quad (7)$$

In the case of particular service the parameters τ_R and τ_Σ are specified and fixed. When the total number of terminals (end nodes) n_T is known it can be seen from (7) that n_B and n_S are the key parameters in message transmission delay optimization process. When the constraints $T_{RR\min}$ and $T_{\Sigma R\min}$ are considered, we can calculate limits $n_{B\min}$ and $n_{S\min}$ from the following formulae

$$\begin{aligned} n_{B\min} &= \frac{BW_A}{PL_{RR}} T_{RR\min} + \eta_{B\min} = \frac{T_{RR\min}}{\tau_R} + \eta_{B\min}, \\ \eta_{B\min} &\in \langle 0, 1 \rangle, \\ n_{S\min} &= \frac{BW_A}{PL_{\Sigma R}} T_{\Sigma R\min} + \eta_{S\min} = \frac{T_{\Sigma R\min}}{\tau_\Sigma} + \eta_{S\min}, \\ \eta_{S\min} &\in \langle 0, 1 \rangle. \end{aligned} \quad (8)$$

Parameters $\eta_{B\min}$ and $\eta_{S\min}$ round the quantities $n_{B\min}$ and $n_{S\min}$ to the nearest higher integer to fulfil at least the minimum transmission periods $T_{RR\min}$ and $T_{\Sigma R\min}$. When the constraints $T_{RR\min}$ and $T_{\Sigma R\min}$ are not specified $n_{B\min}$ and $n_{S\min}$ are set to 1 and minimum transmission periods are set to τ_R and τ_Σ .

To ensure proper message transmission each node within the tree hierarchy has to know:

- quantities to be measured / summarized and sent,
- message structure and length,
- link to the node at higher level,
- current number of nodes in its group,
- maximum bandwidth allocated to the service,
- minimum transmission period.

The parameter limits $n_{B\min}$ and $n_{S\min}$ divide the plane $[n_B, n_S]$, i.e. definition domain of (7), into four regions as shown in Fig. 2.

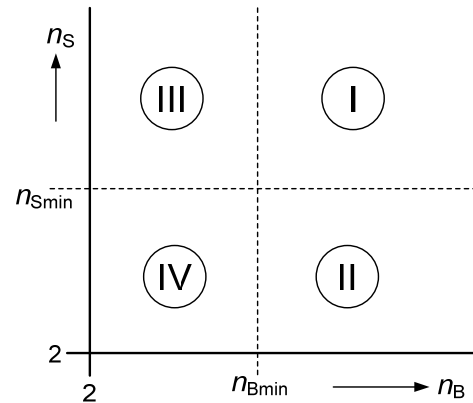


Fig. 2. Regions for tree feedback report delay optimization.

Region I): $n_B \geq n_{B\min} \wedge n_S \geq n_{S\min}$ (9)

Region II): $n_B \geq n_{B\min} \wedge n_S < n_{S\min}$ (10)

Region III): $n_B < n_{B\min} \wedge n_S \geq n_{S\min}$ (11)

Region IV): $n_B < n_{B\min} \wedge n_S < n_{S\min}$ (12)

Regions II, III, IV exist provided the values of $n_{B\min}$ and / or $n_{S\min}$ are three and larger. Formula (7) will change for each region:

$$\text{Region I): } T_{\text{RTW}} = \tau_{\text{R}} n_{\text{B}} + (\tau_{\Sigma} n_{\text{S}}) \left[\log_{n_{\text{S}}} \left(\frac{n_{\text{T}} \eta_{\text{I}}}{n_{\text{B}}} \right) \right], \quad (13)$$

$$\text{Region II): } T_{\text{RTW}} = \tau_{\text{R}} n_{\text{B}} + T_{\Sigma \text{R min}} \left[\log_{n_{\text{S}}} \left(\frac{n_{\text{T}} \eta_{\text{I}}}{n_{\text{B}}} \right) \right], \quad (14)$$

$$\text{Region III) } T_{\text{RTW}} = T_{\text{RR min}} + (\tau_{\Sigma} n_{\text{S}}) \left[\log_{n_{\text{S}}} \left(\frac{n_{\text{T}} \eta_{\text{I}}}{n_{\text{B}}} \right) \right], \quad (15)$$

$$\text{Region IV) } T_{\text{RTW}} = T_{\text{RR min}} + T_{\Sigma \text{R min}} \left[\log_{n_{\text{S}}} \left(\frac{n_{\text{T}} \eta_{\text{I}}}{n_{\text{B}}} \right) \right]. \quad (16)$$

Each region should be inspected to find the best tree configuration from the total message transport delay point of view.

The functions (13), (14), (15) and (16) are discontinuous due to the integer value of expression in square brackets of (13), (14), (15) and (16), as it can be seen from Fig. 3 (delay was calculated for the example from [8] where $n_{\text{T}} = 10^5$, $PL_{\text{RR}} = 736$ bits, $PL_{\Sigma \text{R}} = 11296$ bits and $BW_{\text{AR}} = BW_{\Sigma \text{S}} = BW_{\text{A}} = 37.5$ kbps).

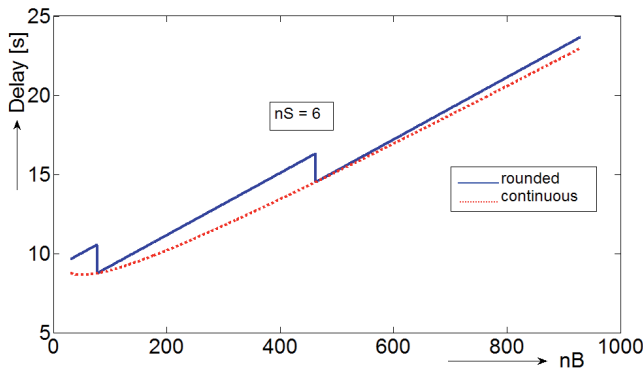


Fig. 3. Course of the worst-case total delay according to (13).

When this discontinuous function (13) is replaced by continuous one (without correction parameter η_{I}),

$$T_{\text{RTW}} = \tau_{\text{R}} n_{\text{B}} + (\tau_{\Sigma} n_{\text{S}}) \left[\log_{n_{\text{S}}} \left(\frac{n_{\text{T}}}{n_{\text{B}}} \right) \right], \quad (17)$$

the worst-case total delay values obtained from the optimization process with continuous function are quite close to and always better than when discontinuous function is considered (due to the fact that $\eta_{\text{I}} \geq 1$), see Fig. 3. This was also proved by modeling in Matlab, see Fig. 4.

It can be seen that in the case of discontinuous characteristics the course of the function is complex and it is very difficult to find the minimum. Therefore it was decided to realize the optimization process with continuous function according to (17) and after the minimum of continuous function is found, the process of minimum searching process in the discontinuous function is limited to the restricted area.

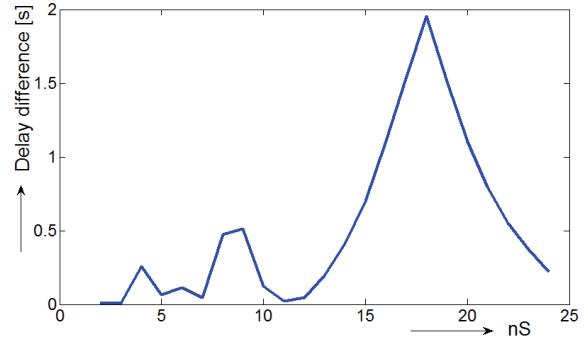


Fig. 4. Differences of minimum worst-case total delay values between discontinuous (13) and continuous functions as the function of n_{S} .

3.1 Region I Optimization

The continuous form of the total worst-case delay function in region I is described by (17). The goal of optimization is to find its global extreme (minimum) in this region. Global extreme can be located either in local extremes of the function or at the boundary of definition domain. The function is continuous in the whole region and smooth, therefore the first and also second derivatives can be calculated and stationary points of the function can be found:

$$\frac{\partial T_{\text{RTW}}}{\partial n_{\text{B}}} = \tau_{\text{R}} - \tau_{\Sigma} \frac{n_{\text{S}}}{n_{\text{B}} \ln n_{\text{S}}}, \quad (18)$$

$$\frac{\partial T_{\text{RTW}}}{\partial n_{\text{S}}} = \tau_{\Sigma} \frac{\ln \left(\frac{n_{\text{T}}}{n_{\text{B}}} \right)}{\ln^2 n_{\text{S}}} (\ln n_{\text{S}} - 1). \quad (19)$$

Stationary points are the candidates for local extremes and they can be calculated from the conditions that the first derivatives (18) and (19) are put equal to zero and the results are:

$$n_{\text{Ss1}} = e \text{ (i.e. } 2.71828\dots) + \eta_{\text{S}} = 3 \quad (20)$$

and when non-rounded n_{Ss1} is used for n_{Bs1} calculations

$$n_{\text{Bs1}} = \frac{\tau_{\Sigma}}{\tau_{\text{R}}} \frac{n_{\text{Ss1}}}{\ln n_{\text{Ss1}}} = \frac{\tau_{\Sigma}}{\tau_{\text{R}}} e + \eta_{\text{B}} = \frac{PL_{\Sigma \text{R}}}{PL_{\text{RR}}} e + \eta_{\text{B}}, \quad (21)$$

$$\eta_{\text{B}} \in (-0.5; +0.5).$$

Unfortunately the result for optimum n_{S} is very small. To meet the restrictions for region I specified by (9) and to include the stationary point the conditions are:

$$n_{\text{Smin}} \leq 3, \quad (22)$$

$$n_{\text{Bmin}} \leq \frac{\tau_{\Sigma}}{\tau_{\text{R}}} e + \eta_{\text{B}}.$$

In majority cases $\tau_{\Sigma} \gg \tau_{\text{R}}$ like in the example in [8] where packet lengths of the receiver report and summarization report were $PL_{\text{RR}} = 736$ bits and $PL_{\Sigma \text{R}} = 11296$ bits. Then n_{Bs} will be

$$n_{Bs1} = \frac{\tau_\Sigma}{\tau_R} e + \eta_B = \frac{PL_{\Sigma R}}{PL_{RR}} e + \eta_B = \frac{11296}{736} e + \eta_B = 42, \quad (23)$$

$$\text{and therefore} \quad n_{Bmin} \leq 42 \quad (24)$$

as the restriction (9) specifies. When we select $n_{Smin} = 2$ and consider a reasonable requirement that $T_{RRmin} = T_{\Sigma Rmin}$ then according to (8) the parameters n_{Smin} and n_{Bmin} are related by the formula

$$\begin{aligned} n_{Bmin} &= \frac{\tau_\Sigma}{\tau_R} n_{Smin} + \eta_{Bmin} = \frac{PL_{\Sigma R}}{PL_{RR}} n_{Smin} + \eta_{Bmin} = \\ &= \frac{11296}{736} 2 + \eta_{Bmin} = 31. \end{aligned} \quad (25)$$

This shows that requirement (24) is met. In such a case and when the example from [8] is considered where $PL_{RR} = 736$ bits, $PL_{\Sigma R} = 11296$ bits and $BW_A = 37.5$ kbps we obtain the following result for parameters T_{RRmin} and $T_{\Sigma Rmin}$:

$$\begin{aligned} T_{RRmin} &= T_{\Sigma Rmin} = n_{Bmin} \tau_R = n_{Smin} \tau_\Sigma = n_{Bmin} \frac{PL_{RR}}{BW_A} = \\ &= n_{Smin} \frac{PL_{\Sigma R}}{BW_A} = 2 \frac{11296}{37500} \text{ s} = 602.45 \text{ ms}. \end{aligned} \quad (26)$$

Such situation is only partially acceptable, because of quite short message transmission period and of large number of required summarization nodes in the case of large systems.

When $n_{Smin} = 3$ or more precisely, when $T_{RRmin} > e\tau_\Sigma$ then $n_{Bmin} > 42$ and the condition (24) is not fulfilled.

To prove, whether the local minimum was eventually found, it is necessary to check sufficient conditions for the existence of local minimum

$$\begin{aligned} D_1 &= \frac{\partial^2 T_{RTW}}{\partial n_B^2} \Big|_{\substack{n_B=n_{Bs} \\ n_S=n_{Ss}}} \geq 0, \\ D_2 &= \begin{vmatrix} \frac{\partial^2 T_{RTW}}{\partial n_B^2} & \frac{\partial^2 T_{RTW}}{\partial n_B \partial n_S} \\ \frac{\partial^2 T_{RTW}}{\partial n_B \partial n_S} & \frac{\partial^2 T_{RTW}}{\partial n_S^2} \end{vmatrix} \Big|_{\substack{n_B=n_{Bs} \\ n_S=n_{Ss}}} > 0. \end{aligned} \quad (27)$$

and to calculate the second partial derivatives:

$$\frac{\partial^2 T_{RTW}}{\partial n_B^2} = \tau_\Sigma \frac{n_S}{n_B^2 \ln n_S}, \quad (28)$$

$$\frac{\partial^2 T_{RTW}}{\partial n_S^2} = \tau_\Sigma \ln \left(\frac{n_T}{n_B} \right) \frac{2 - \ln n_S}{n_S \ln^3 n_S}, \quad (29)$$

$$\frac{\partial^2 T_{RTW}}{\partial n_B \partial n_S} = \frac{\partial^2 T_{RTW}}{\partial n_S \partial n_B} = -\frac{\tau_\Sigma \ln n_S - 1}{n_B \ln^2 n_S}. \quad (30)$$

When the results (20) and (21) are used in (27) we get:

$$\begin{aligned} D_1 &= \frac{\tau_R^2}{\tau_\Sigma e}, \\ D_2 &= \frac{\tau_R^2}{e^2} \left[\ln \left(n_T \frac{\tau_R}{\tau_\Sigma} \right) - 1 \right]. \end{aligned} \quad (31)$$

The condition $D_1 > 0$ is always met and the condition D_2 will be fulfilled when

$$n_T \frac{\tau_R}{\tau_\Sigma} > e. \quad (32)$$

Again in the example of RTCP presented in [8] the length of receiver report PL_{RR} was 736 bits and the length of summarization report $PL_{\Sigma R}$ was 11296 bits. In the case when the same link bandwidths are assigned both to terminals and summarization nodes (32) has the form

$$\begin{aligned} n_T \frac{\tau_R}{\tau_\Sigma} &= n_T \frac{PL_{RR}}{PL_{\Sigma R}} = n_T \frac{736}{11296} \approx 0.065 n_T > e, \\ n_T &> 42. \end{aligned} \quad (33)$$

This condition is quite easy to meet.

Provided the conditions (22) and (32) are met (20) and (21) specifies the local minimum of the region I whose value is

$$\begin{aligned} T_{RTWI} \left(n_{Bs1} = \frac{\tau_\Sigma}{\tau_R} e + \eta_B, n_{Ss1} = 3 \right) &= \\ &= \tau_\Sigma \left[e + 3 \log_3 \left(\frac{n_T}{\frac{\tau_\Sigma}{\tau_R} e + \eta_B} \right) \right] + \tau_R \eta_B. \end{aligned} \quad (34)$$

When parameter $n_{Smin} \geq 3$ which will be obvious case, the course of minima changes into the trajectory shown in Fig. 5 and therefore the absolute minimum of the region I will be reached for the smallest n_S , i.e. n_{Smin} and for n_{Bmin} . Hence the point (n_{Bmin}, n_{Smin}) is the best choice.

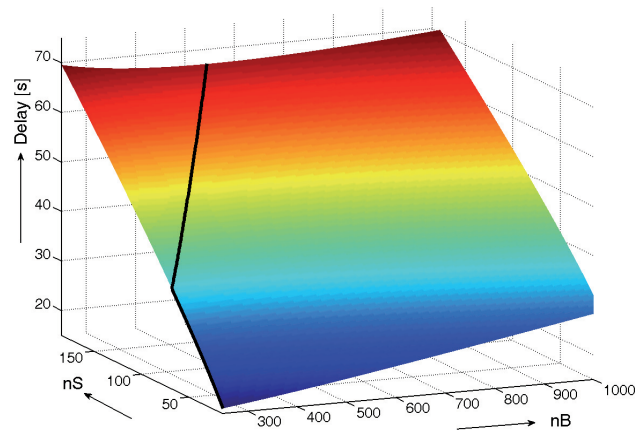


Fig. 5. Total delay versus n_B and n_S according to (13) with minima localization for $n_{Smin} > 3$.

As the global extreme is searched, it is necessary to inspect also boundaries of the region I that are specified by expressions

$$n_B \geq n_{Bmin} \wedge n_S = n_{Smin} \quad (35)$$

and
$$n_B = n_{Bmin} \wedge n_S \geq n_{Smin} \quad (36)$$

Condition (35) changes formula (13) to the form

$$T_{RTW} = \tau_R n_B + T_{\Sigma Rmin} \left[\log_{n_{Smin}} \left(\frac{n_T}{n_B} \right) \right]. \quad (37)$$

Its derivative has the form:

$$\frac{\partial T_{RTW}}{\partial n_B} = \tau_R - \frac{T_{\Sigma Rmin}}{n_B \ln n_{Smin}} \quad (38)$$

and the stationary point has the coordinates:

$$\begin{aligned} n_{Bs2} &= \frac{T_{\Sigma Rmin}}{\tau_R \ln n_{Smin}} + \eta_B = \frac{\tau_\Sigma n_{Smin}}{\tau_R \ln n_{Smin}} + \eta_B = \\ &= \frac{T_{\Sigma Rmin}}{\tau_R (\ln T_{\Sigma Rmin} - \ln \tau_\Sigma)} + \eta_B, \quad (39) \\ n_{Ss2} &= n_{Smin} = \frac{T_{\Sigma Rmin}}{\tau_\Sigma} + \eta_{Smin}. \end{aligned}$$

The second derivative

$$\frac{\partial^2 T_{RTW}}{\partial n_B^2} = \frac{T_{\Sigma Rmin}}{n_B^2 \ln n_{Smin}} \quad (40)$$

is positive at the stationary point, i.e. the local minimum was found.

As the expression (35) specifies two restrictions, also the following condition has to be met:

$$\begin{aligned} n_{Bs2} &\geq n_{Bmin}, \\ \text{i.e. } \frac{T_{\Sigma Rmin}}{\tau_R (\ln T_{\Sigma Rmin} - \ln \tau_\Sigma)} &\geq \frac{T_{RRmin}}{\tau_R}, \quad (41) \\ \frac{T_{\Sigma Rmin}}{T_{RRmin}} &\geq \ln n_{Smin}. \end{aligned}$$

In the case when $T_{RRmin} = T_{\Sigma Rmin}$ this restriction results in the requirement

$$n_{Smin} = 2. \quad (42)$$

Then

$$\begin{aligned} n_{Ss2} &= n_{Smin} = 2, \\ n_{Bs2} &= \frac{T_{\Sigma Rmin}}{\tau_R \ln n_{Smin}} + \eta_B = \frac{2\tau_\Sigma}{\tau_R \ln 2} + \eta_B = \frac{2}{\ln 2} \frac{PL_{\Sigma R}}{PL_{RR}} + \eta_B, \quad (43) \\ \eta_B &\in (-0.5; +0.5), \end{aligned}$$

provided the same bandwidths are allocated both for group of terminals and group of summarization nodes and when expression (8) is used. Then the local minimum of the worst-case total delay T_{RTW} will be:

$$\begin{aligned} T_{RTW2} \left(n_{Bs2} = \frac{2}{\ln 2} \frac{PL_{\Sigma R}}{PL_{RR}} + \eta_B, n_{Ss2} = 2 \right) &= \\ &= \tau_R \left\{ \frac{2}{\ln(2)} \frac{\tau_\Sigma}{\tau_R} \left[1 + \ln \left(\frac{n_T}{\frac{2}{\ln(2)} \frac{\tau_\Sigma}{\tau_R} + \eta_B} \right) \right] + \eta_B \right\}. \quad (44) \end{aligned}$$

In the presented example when $PL_{RR} = 736$ bits and $PL_{\Sigma R} = 11296$ bits expressions in (43) result into the following point coordinates

$$\begin{aligned} n_{Ss2} &= n_{Smin} = 2, \\ n_{Bs2} &= \frac{2}{\ln 2} \frac{PL_{\Sigma R}}{PL_{RR}} = \frac{2}{\ln 2} \frac{11296}{736} = 44, \quad (45) \\ n_{Bmin} &\leq 44. \end{aligned}$$

The last condition of (45) is met according to (25). In other cases, i.e. when $n_{Smin} > 2$, the point (n_{Bmin}, n_{Smin}) provides the minimum of delay.

The second part of the region I boundary is specified by (36) and

$$T_{RTW}(n_{Bmin}) = T_{RRmin} + (\tau_\Sigma n_S) \left[\log_{n_S} \left(\frac{n_T}{n_{Bmin}} \right) \right]. \quad (46)$$

Its derivative according to the parameter n_S is

$$\frac{\partial T_{RTW}(n_{Bmin})}{\partial n_S} = \tau_\Sigma \ln \left(\frac{n_T}{n_{Bmin}} \right) \frac{\ln n_S - 1}{\ln^2 n_S}, \quad (47)$$

which yields the same result like (20), i.e.

$$n_{Ss3} = e(2.71828...) + \eta_S = 3. \quad (48)$$

The second derivative at this point proves that the point (n_{Bmin}, n_{Ss3}) is the local minimum at the second part of the boundary of region I, provided

$$n_{Smin} \leq 3 \quad (49)$$

as it is demanded by the second part of (36). This third candidate for global minimum will have the coordinates

$$\begin{aligned} n_{Ss3} &= 3, \quad (50) \\ n_{Bs3} &= n_{Bmin}, \text{ no other restrictions for it.} \end{aligned}$$

The result delay will be

$$\begin{aligned} T_{RTW3}(n_{Bs3} = n_{Bmin}, n_{Ss3} = 3) &= \tau_R n_{Bmin} + (3\tau_\Sigma) \left[\ln \left(\frac{n_T}{n_{Bmin}} \right) \right] = \\ &= T_{RRmin} + (3\tau_\Sigma) \left[\ln \left(\frac{n_T}{\frac{T_{RRmin}}{\tau_R} + \eta_B} \right) \right]. \quad (51) \end{aligned}$$

As it is mentioned above (50) does not put any restriction on n_{Bmin} but when (51) is analyzed, the minimum of (51) is reached when

$$n_{Bmin} = n_{Bs3} = \frac{\tau_\Sigma}{\tau_R} e + \eta_B = \frac{PL_{\Sigma R}}{PL_{RR}} e + \eta_B, \quad (52)$$

$$\eta_B \in (-0.5; +0.5).$$

In this case this third candidate coincides with the first candidate specified by (20) and (21). Again when n_{Bmin} is larger then specified by (52), then minimum lays in the point (n_{Bmin}, n_{Smin}) .

The point (n_{Bmin}, n_{Smin}) is the last part of the region I and it was already mentioned several times as the best candidate for minimum delay and therefore it is worth inspecting it. The total delay at this point has the following value

$$T_{RTW4}(n_{Bmin}, n_{Smin}) = \tau_R n_{Bmin} + \tau_\Sigma n_{Smin} \left[\log_{n_{Smin}} \left(\frac{n_T}{n_{Bmin}} \right) \right] =$$

$$= T_{RRmin} + \eta_{TR} + \frac{(T_{\Sigma Rmin} + \eta_{T\Sigma})}{\ln \left(\frac{T_{\Sigma Rmin} + \eta_S}{\tau_\Sigma} \right)} \ln \left(\frac{n_T}{\frac{T_{RRmin}}{\tau_R} + \eta_B} \right), \quad (53)$$

where

$$\eta_{TR} = \eta_B \tau_R, \quad (54)$$

$$\eta_{T\Sigma} = \eta_S \tau_\Sigma.$$

Provided that there are no restrictions for n_{Bmin} and n_{Smin} , i.e. T_{RRmin} and $T_{\Sigma Rmin}$ are so small (or not specified at all) that following values of n_{Bmin} and n_{Smin} are allowed:

$$n_{Bmin} = \frac{\tau_\Sigma}{\tau_R} e + \eta_B, \quad \eta_B \in (-0.5; +0.5) \quad (55)$$

$$\text{and} \quad n_{Smin} = 2, \quad (56)$$

then (53) changes into

$$T_{RTW4} \left(n_{Bmin} = \frac{\tau_\Sigma}{\tau_R} e + \eta_B, n_{Smin} = 2 \right) =$$

$$= \tau_R \left\{ \frac{\tau_\Sigma}{\tau_R} \left[e + 2 \log_2 \left(\frac{n_T}{\frac{\tau_\Sigma}{\tau_R} e + \eta_B} \right) \right] + \eta_B \right\}. \quad (57)$$

When we compare visually the candidates for minimum and expressions for the worst-case total delay we find them quite close to each other. In the case when $T_{\Sigma Rmin}$ is so small (or not specified at all) so that $n_{Smin} = 2$ or $T_{\Sigma Rmin} < e\tau_\Sigma$ and $T_{RRmin} < e\tau_\Sigma$ then we can examine all candidates for delay minimum in the region I. As it was already mentioned for $n_{Smin} > 3$ the point (n_{Smin}, n_{Bmin}) is the only candidate for minimum in region I. To compare them we use the following input parameters $PL_{RR} = 736$ bits and $PL_{\Sigma R} = 11296$ bits, $n_T = 2.2 \cdot 10^6$ terminals, $BW_{AR} = BW_{A\Sigma} = BW_A = 37.5$ kbps and when $T_{RRmin} = T_{\Sigma Rmin}$. The obtained results are shown in Tab. 1.

region I					
Tsrmin (s)	nSmin	nBmin	nSs	nBs	Trtwl (s)
0.45	2	24	2	31	10.32
			2	42	10.27
			2	45	10.27
			3	42	9.76
			2	24	10.40
0.75	3	39	3	42	9.76
			3	44	9.76
			3	42	9.76
			3	39	9.76
1.05	4	54	4	54	10.29
1.36	5	70	5	70	11.06
1.66	6	85	6	85	11.92
1.96	7	100	7	100	12.80

Tab. 1. Delay values in candidate points in region I.

It can be seen that the results are very similar. As the global minimum is searched more detailed results are presented in Section 3.5.

3.2 Optimization in Region II

Region II is specified by

$$n_B \geq n_{Bmin} \wedge n_S < n_{Smin}, \quad (58)$$

and by

$$T_{RTWII} = \tau_R n_B + T_{\Sigma Rmin} \left[\log_{n_S} \left(\frac{n_T}{n_B} \right) \right]. \quad (59)$$

The function (59) is again continuous in the whole region II and also smooth, therefore the first and also second derivatives can be calculated:

$$\frac{\partial T_{RTWII}}{\partial n_B} = \tau_R - \frac{T_{\Sigma Rmin}}{n_B \ln n_S}, \quad (60)$$

$$\frac{\partial T_{RTWII}}{\partial n_S} = -T_{\Sigma Rmin} \ln \left(\frac{n_T}{n_B} \right) \frac{1}{n_S \ln^2 n_S}. \quad (61)$$

When we put the derivative (60) equal to zero we obtain

$$n_{Bs} = \frac{T_{\Sigma Rmin}}{\tau_R \ln n_S} = \frac{\tau_\Sigma n_{Smin}}{\tau_R \ln n_S}. \quad (62)$$

The second derivative of (60) is positive at the point (62) but the condition (58) has to be met. Therefore

$$\frac{T_{\Sigma Rmin}}{\tau_R \ln n_S} \geq n_{Bmin},$$

$$\frac{T_{\Sigma Rmin}}{\tau_R \ln n_S} \geq \frac{T_{RRmin}}{\tau_R}, \quad (63)$$

$$n_S \leq e^{\left(\frac{T_{\Sigma Rmin}}{T_{RRmin}} \right)}.$$

As the derivative (61) is negative in the whole region II the larger n_s is selected the lower value of T_{RTW} is obtained. Therefore the nearest lower integer should be selected:

$$n_{S_{\text{max}}} = e^{\left\lceil \left(\frac{T_{\Sigma R_{\text{min}}}}{T_{RR_{\text{min}}}} \right) \eta_s \right\rceil}, \quad \eta_s \in (0.5, 1). \quad (64)$$

Then

$$\begin{aligned} n_{B_s} &= \frac{T_{\Sigma R_{\text{min}}}}{\tau_R \ln n_{S_{\text{max}}}} + \eta_B = \frac{T_{\Sigma R_{\text{min}}}}{\tau_R \frac{T_{\Sigma R_{\text{min}}}}{T_{RR_{\text{min}}}} \eta_s} + \eta_B = \\ &= \frac{T_{RR_{\text{min}}}}{\tau_R \eta_s} + \eta_B = \frac{n_{B_{\text{min}}}}{\eta_s} + \eta_B. \end{aligned} \quad (65)$$

When (65) is inserted into (59) we get

$$\begin{aligned} T_{RTW} &= \frac{T_{RR_{\text{min}}}}{\eta_s} \left[1 + \ln \left(\frac{n_T \eta_s}{n_{B_{\text{min}}} + \eta_B \eta_s} \right) \right] + \tau_R \eta_B = \\ &= \tau_R \left\{ \frac{n_{B_{\text{min}}}}{\eta_s} \left[1 + \ln \left(\frac{n_T \eta_s}{n_{B_{\text{min}}} + \eta_B \eta_s} \right) \right] + \eta_B \right\}. \end{aligned} \quad (66)$$

By analysis of (66) it was found that the function has positive derivative according to the parameter $n_{B_{\text{min}}}$

$$\frac{\partial T_{RTW}}{\partial n_{B_{\text{min}}}} = \frac{\tau_R}{\eta_s} \left[\ln \left(\frac{n_T \eta_s}{n_{B_{\text{min}}} + \eta_B \eta_s} \right) + \frac{\eta_B \eta_s}{n_{B_{\text{min}}} + \eta_B \eta_s} \right] \quad (67)$$

in the whole region II for all $n_{B_{\text{min}}} \in \langle 2, n_T \rangle$ so that $n_{B_{\text{min}}}$ should be selected as low as possible. In the case when $T_{RR_{\text{min}}} = T_{\Sigma R_{\text{min}}}$ the restriction (63) results in the requirement

$$n_{S_{\text{max}}} = 2. \quad (68)$$

Therefore to decrease T_{RTW} , $n_{S_{\text{min}}}$ should be selected as low as possible, i.e.

$$n_{S_{\text{min}}} = 3. \quad (69)$$

Then

$$\begin{aligned} n_{B_s} &= \frac{T_{\Sigma R_{\text{min}}}}{\tau_R \ln n_{S_{\text{max}}}} + \eta_B = \frac{T_{\Sigma R_{\text{min}}}}{\tau_R \ln 2} + \eta_B = \frac{3}{\ln 2} \frac{\tau_\Sigma}{\tau_R} + \eta_B = \\ &= \frac{3}{\ln 2} \frac{PL_{\Sigma R}}{PL_{RR}} + \eta_B; \quad \eta_B \in (-0.5; +0.5), \end{aligned} \quad (70)$$

provided the same bandwidths are allocated both for group of terminals and group of summarization nodes and when expression (8) is used. Then

$$\begin{aligned} T_{RTWII} &\left(n_{B_{sII}} = \frac{3}{\ln 2} \frac{PL_{\Sigma R}}{PL_{RR}} + \eta_B, n_{S_{sII}} = 2 \right) = \\ &= \frac{PL_{RR}}{BW_A} \left\{ \frac{3}{\ln 2} \frac{PL_{\Sigma R}}{PL_{RR}} \left[1 + \ln \left(\frac{n_T}{\frac{3}{\ln 2} \frac{PL_{\Sigma R}}{PL_{RR}} + \eta_B} \right) \right] + \eta_B \right\}. \end{aligned} \quad (71)$$

In other cases, i.e. when $n_{S_{\text{min}}} > 2$, the point $(n_{B_{\text{min}}}, n_{S_{\text{min}}}-1)$ provides the minimum of delay.

3.3 Optimization in Region III

Region III is specified by expressions

$$n_B < n_{B_{\text{min}}} \wedge n_s \geq n_{S_{\text{min}}}, \quad (72)$$

$$\text{and} \quad T_{RTWIII} = T_{RR_{\text{min}}} + (\tau_\Sigma n_s) \left[\log_{n_s} \left(\frac{n_T}{n_B} \right) \right]. \quad (73)$$

Its derivative according to the parameter n_s is

$$\frac{\partial T_{RTW}}{\partial n_s} = \tau_\Sigma \ln \left(\frac{n_T}{n_B} \right) \frac{\ln n_s - 1}{\ln^2 n_s}, \quad (74)$$

which when laid to zero brings the result

$$n_{S_s} = e(2.71828...) + \eta_s = 3. \quad (75)$$

The derivative according to the parameter n_B is

$$\frac{\partial T_{RTW}}{\partial n_B} = -\frac{\tau_\Sigma n_s}{n_B \ln n_s}, \quad (76)$$

which is negative in the whole region III therefore n_B should be as large as possible. The constraints (72) specify the values for $n_{S_{\text{min}}}$ and n_B :

$$n_{S_{\text{min}}} \leq 3, \quad (77)$$

$$T_{RR_{\text{min}}} = T_{\Sigma R_{\text{min}}} \leq 3\tau_\Sigma$$

$$n_B = n_{B_{\text{min}}} - 1 = \frac{\max(T_{RR_{\text{min}}})}{\tau_R} + \eta_{B_{\text{min}}} - 1 = 3 \frac{\tau_\Sigma}{\tau_R} + \eta_{B_{\text{min}}} - 1.$$

Then

$$\begin{aligned} T_{RTWIII} &= T_{RR_{\text{min}}} + 3\tau_\Sigma \left[\log_3 \left(\frac{n_T}{n_{B_{\text{min}}} - 1} \right) \right] = \\ &= 3\tau_\Sigma \left[1 + \log_3 \left(\frac{n_T}{3 \frac{\tau_\Sigma}{\tau_R} + \eta_{B_{\text{min}}} - 1} \right) \right] \end{aligned} \quad (78)$$

When $n_{S_{\text{min}}}$ is selected > 3 , which is highly probable in real systems, then to minimize total delay n_s should be selected as small as possible, i.e.

$$n_s = n_{S_{\text{min}Z+}} = \frac{T_{\Sigma R_{\text{min}}}}{\tau_\Sigma} + \eta_{S_{\text{min}}} = n_{S_{\text{min}}}, \quad \eta_{S_{\text{min}}} \in \langle 0, 1 \rangle, \quad (79)$$

$$n_B = n_{B_{\text{min}Z-}} = \frac{T_{RR_{\text{min}}}}{\tau_R} + \eta_{B_{\text{min}}} - 1 = n_{B_{\text{min}}} - 1, \quad \eta_{B_{\text{min}}} \in \langle 0, 1 \rangle.$$

Hence the point $(n_{B_{\text{min}}}-1, n_{S_{\text{min}}})$ provides the minimum of delay whose value is

$$T_{RTWIII} = T_{RR_{\text{min}}} + n_{S_{\text{min}}} \tau_\Sigma \left[\log_{n_{S_{\text{min}}}} \left(\frac{n_T}{n_{B_{\text{min}}} - 1} \right) \right]. \quad (80)$$

3.4 Optimization in Region IV

Region IV is specified by

$$n_B < n_{Bmin} \wedge n_S < n_{Smin} \quad (81)$$

and by the expression for the worst-case total delay

$$T_{RTWIV} = T_{RRmin} + T_{\Sigma Rmin} \left[\log_{n_S} \left(\frac{n_T}{n_B} \right) \right]. \quad (82)$$

The derivatives according to both variables n_S and n_B are negative in the whole region IV

$$\frac{\partial T_{RTWIV}}{\partial n_S} = -\frac{T_{\Sigma Rmin}}{n_S \ln^2 n_S} \ln \left(\frac{n_T}{n_B} \right), \quad (83)$$

$$\frac{\partial T_{RTWIV}}{\partial n_B} = -\frac{T_{\Sigma Rmin}}{n_B \ln n_S}, \quad (84)$$

therefore the minimum of the delay in this region will lay in the point

$$n_S = n_{SminZ} = \frac{T_{\Sigma Rmin}}{\tau_\Sigma} - n_{Smin} = n_{Smin} - 1, \quad n_{Smin} \in (0, 1), \quad (85)$$

$$n_B = n_{BminZ} = \frac{T_{RRmin}}{\tau_R} - n_{Bmin} = n_{Bmin} - 1, \quad n_{Bmin} \in (0, 1).$$

Then the delay will be

$$T_{RTWIV} = T_{RRmin} + T_{\Sigma Rmin} \left[\log_{(n_{Smin}-1)} \left(\frac{n_T}{n_{Bmin}-1} \right) \right]. \quad (86)$$

It could seem that the result of (86) is always larger than the result at the point (n_{Bmin}, n_{Smin}) in the region I) but some

times it can be even smaller at the point $(n_{Bmin}-1, n_{Smin}-1)$ and this can happen due to the rounding processes for n_{Bmin} and n_{Smin} as shown in (87).

$$\begin{aligned} \Delta_{I-IV} &= T_{RTWI}(n_{Bmin}, n_{Smin}) - T_{RTWIV}(n_{Bmin}-1, n_{Smin}-1) = \\ &= \tau_R n_{Bmin} + \tau_\Sigma n_{Smin} \left[\log_{n_{Smin}} \left(\frac{n_T}{n_{Bmin}} \right) \right] - T_{RRmin} - \\ &\quad - T_{\Sigma Rmin} \left[\log_{(n_{Smin}-1)} \left(\frac{n_T}{n_{Bmin}-1} \right) \right] = \\ &= \eta_{TR} + \eta_{T\Sigma} \left[\log_{n_{Smin}} \left(\frac{n_T}{n_{Bmin}} \right) \right] - \\ &\quad - T_{\Sigma Rmin} \left[\log_{(n_{Smin}-1)} \left(\frac{n_T}{n_{Bmin}-1} \right) - \log_{n_{Smin}} \left(\frac{n_T}{n_{Bmin}} \right) \right]. \end{aligned} \quad (87)$$

3.5 Evaluation of Complete n_S - n_B Plane

Matlab mathematical tool was used to evaluate theoretical presumptions. Each candidate point (n_{Bsi}, n_{Ssi}) in all regions that was mathematically derived and expressed in the text above was numerically evaluated. Input parameters for calculations were: $PL_{RR} = 736$ bits, $PL_{\Sigma R} = 11296$ bits, $n_T = 2.2 \cdot 10^6$ terminals, $BW_{AR} = BW_{\Delta\Sigma} = BW_A = 37.5$ kbps and $T_{RRmin} = T_{\Sigma Rmin}$. The results in numerical form for the most interesting part of n_S - n_B plane are shown in Tab. 2.

Symbol "x" in region II and IV in the case of $n_{Smin} = 2$ means that these regions do not exist. The last row in the table shows that the global minimum may be located also in a region different from region I although the differences in delay are minimal.

$T_{\Sigma Rmin}$ (s)	n_{Smin}	n_{Bmin}	region I			region II			region III			region IV			
			n_{Ss}	n_{Bs}	T_{RTWI} (s)	n_{Ss}	n_{Bs}	T_{RTWII} (s)	n_{Ss}	n_{Bs}	T_{RTWIII} (s)	n_{Ss}	n_{Bs}	T_{RTWIV} (s)	
0.45	2	24	2	31	10.32	x	x	x	2	23	10.42	x	x	x	
			2	42	10.27				3	23	9.89				
			2	45	10.27										
			3	42	9.76										
			2	24	10.40										
0.75	3	39	3	42	9.76	2	55	12.59	3	38	9.77	2	38	12.67	
			3	44	9.76	2	39	12.65							
			3	42	9.76										
			3	39	9.76										
1.05	4	54	4	54	10.29	3	54	11.25	4	53	10.30	3	53	11.26	
1.36	5	70	5	70	11.06	4	70	11.50	5	69	11.06	4	69	11.50	
1.66	6	85	6	85	11.92	5	85	12.13	6	84	11.92	5	84	12.13	
1.96	7	100	7	100	12.80	6	100	12.89	7	99	12.80	6	99	12.90	

Tab. 2. Delay values in the derived candidate points for searching of global minimum of the total delay.

Graphical form for result presentation was used for longer sequence of $T_{\Sigma Rmin}$ (the name $Tsrmin$ was used in figures).

Fig. 6 shows the course of minimum delay as the function of $T_{\Sigma Rmin}$ (or n_{Smin} which is proportional to $T_{\Sigma Rmin}$). This graph can be used to determine the largest allowed transmission period when the maximum total delay T_{RTmax} is specified. For example when $T_{RTmax} = 60$ seconds, then $\max(T_{\Sigma Rmin}) = 20$ seconds.

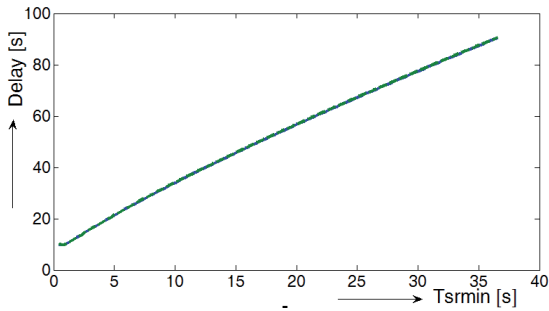


Fig. 6. Worst-case total delay as the function of minimal transmission period $T_{\Sigma Rmin}$.

From Fig. 7 and Fig. 8 we can determine n_B (1050) and n_S (67). Fig. 9 shows the required number of tree levels (3) and Fig. 10 enables to find the required number of summarization nodes (2103). It can be seen that the numbers of the required tree levels and mainly of the summarization nodes increase rapidly when $T_{\Sigma Rmin}$ decreases, which is due to small values of the parameters n_{Bopt} and n_{Sopt} .

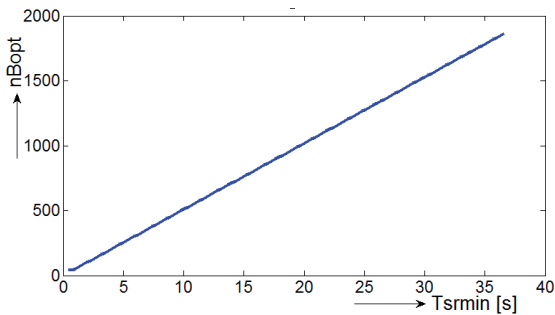


Fig. 7. Optimal value of n_B as the function of $T_{\Sigma Rmin}$.

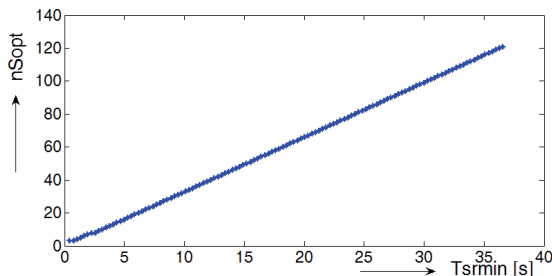


Fig. 8. Optimal value of n_S as the function of $T_{\Sigma Rmin}$.

As it was mentioned above global delay minimum locations vary with $T_{\Sigma Rmin}$ as it can be also seen from Fig. 11 where differences $n_{Smin}-n_{Sopt}$ (nSd) and $n_{Bmin}-n_{Bopt}$ (nBd) are depicted and appropriate regions written.

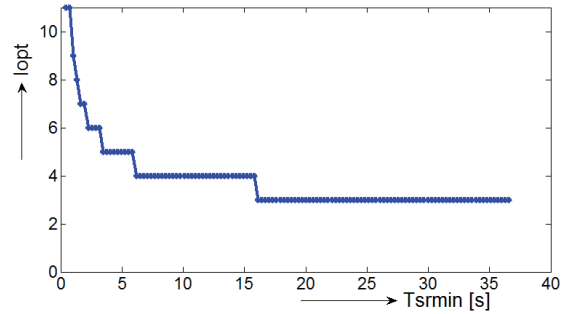


Fig. 9. Required number of tree levels I_{opt} .

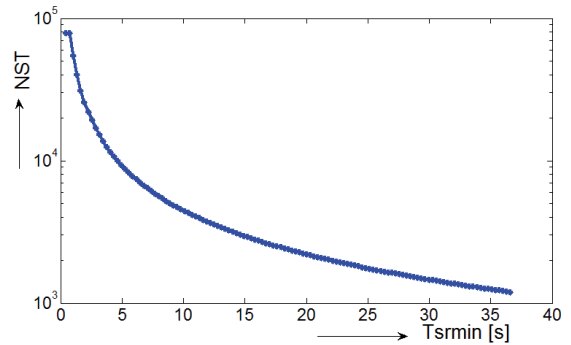


Fig. 10. Required number of summarization nodes N_{ST} .

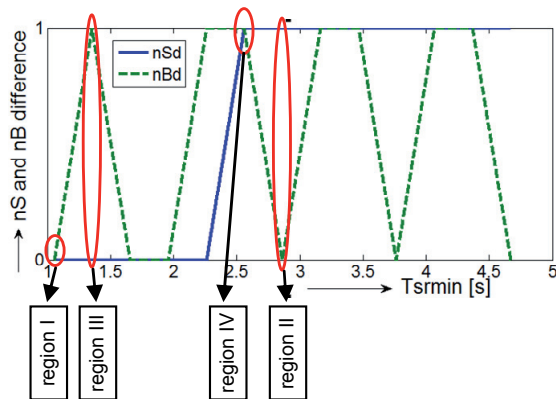


Fig. 11. Locations of global delay minima.

Fig. 12 shows the courses of important tree quantities as the functions of the total number of terminals n_T . In this case the minimum transmission period was constant: $T_{\Sigma Rmin} = 5s$. It can be seen that the optimal values of n_B and n_S remain also constant $n_{Bopt} = 255$ and $n_{Sopt} = 17$.

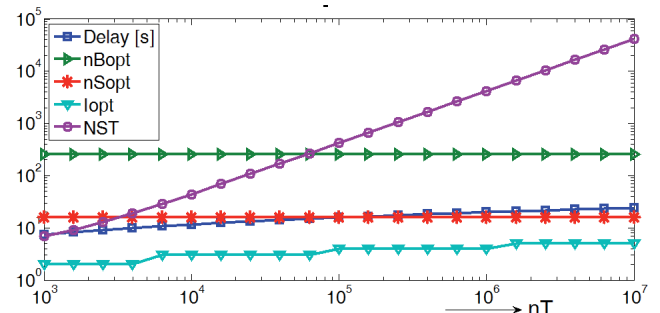


Fig. 12. Tree quantities as the function of the total number of terminals n_T

These values are just equal to region I boundary values n_{Bmin} and n_{Smin} . The number of tree levels I_{opt} gradually raises with n_T increase and the delay increase is quite mild, while the required number of summarization nodes escalates quite rapidly.

4. Conclusion

This article addressed the problem of optimization of hierarchical architecture for data acquisition in network environment. The process of tree design was presented and optimization problems were presented and partially solved. It was proved that in majority cases the optimum or almost optimum architecture of the tree will be reached when n_{Bopt} and n_{Sopt} are put equal to parameters n_{Bmin} and n_{Smin} that are obtained from the input parameters T_{RRmin} and $T_{\Sigma Rmin}$. Provided that the number of required summarization nodes exceeded the number of summarization nodes available then it is necessary to calculate the minimum number of terminals in one group to meet the number of available summarization nodes. This step unfortunately increases the total data acquisition delay so that it is necessary to check whether the maximum acceptable delay was exceeded or not. When it does happen it is possible to try to increase the number of summarization nodes in one group slightly, which may cause reduction both of delay and of the total number of summarization nodes.

Acknowledgements

This article was written with the support of the Ministry of Education of the Czech Republic, fund FRVS, project No. 2954/2010.

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