The Influence of the Bitrate Level on the Subjective Sound Quality Perception of the Concatenated Non-Entropic Audio Coding Algorithms in the Digital Broadcasting Chain

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Abstract. Digital Audio Broadcasting (DAB) and all similar systems for digital radio and television broadcasting are inevitably associated with lossy psychoacoustic audio compression. The coding algorithms are continuously being improved. To achieve high sound quality a lower bitrate, required by the broadcasters, is now sufficient. This paper compares the relevant digital audio codecs: MPEG 2 and AAC in three profiles (AAC LC, HE-AAC v1 and HE-AAC v2). The well-known MP3 format is also added for a better comparison. A brief description of the basic functional principles of the codecs is followed by a comparison of their efficiency keeping in mind the subjectively comparable sound quality. The main contribution of this paper is the verification of the relationship of the bitrate level and sound quality in broadcasting environment and the finding out the influence of other, often more significant factors, such as the primary quality of the input recordings and the concatenation of non-entropic coding, on the subjective perception in the digital broadcasting chain. These findings are supported by the results of a unique research analysis providing an insight into which specific audio encoding configurations are used for DAB+ radio broadcasting in practice, in Europe as a whole and in individual European countries.

Keywords
DAB+, Digital Audio Broadcasting, psychoacoustic compression, coding, MPEG, MP3, AAC, HE-AAC, Spectral Band Replication, Parametric Stereo

1. Introduction

The use of a new technical standard for radio broadcasting, Digital Audio Broadcasting (DAB+) [1–4], is currently expanding intensively in Europe. DAB system is a linear digital distribution platform for the distribution of audio accompanied by additional multimedia content. It was officially established in February 1995, when it was standardized by the European Telecommunications Standards Institute (ETSI) as ETSI EN 300 401 [2]. In February 2007, an improved version called DAB+ [5] was released. Its main and crucial contribution was the application of a new, more efficient algorithm for encoding audio channels. DAB+ system has brought a number of innovations and benefits. Compared to analogue FM broadcasting, it offers higher sound quality to listeners, better performance in complicated reception conditions, complementary data service and a wider range of radio stations.

These results were achieved mainly due to the following three state-of-the-art innovations:

1. Multiplexing, which associates individual radio programs into so-called multiplexes and then (in the case of DAB+) encodes the transmission channel using concatenated encoding by Reed-Solomon code and by convolutional code - Forward Error Correction (FEC) [2]. FEC of each audio channel can be configured independently.

2. Coded Orthogonal Frequency Division Multiplexing (COFDM) modulation [6], which enables us to distribute the bitrate among a large number of parallel subcarriers. The symbol rate on each of them can then be relatively low. It allows the use of synchronous, single frequency networks (SFN) in wide geographical areas.


DAB+ and generally all similar systems for digital broadcasting (DAB, DAB+, DRM, DRM+, HD Radio, CDR, DVB-T, DVB-T2 [8], DVB-S / DVB-S2) are based on the use of lossy, non-entropic audio codecs. The algorithms of these codecs work with relatively low bitrates. Moreover, these bitrates constantly decrease with codecs.
Non-entropic audio codecs perform data compression that exploits the psychoacoustic model of human hearing and removes redundant data from the transmitted signal. These are the data that the human ear cannot process usefully and thus they do not contribute to improving the sound quality. The signal processed in this way can no longer be fully restored to its original state (losslessly decoded), as with lossless formats [10], [11]: FLAC, ALAC, WavPack, Monkey’s audio, etc., or with general compression methods: ZIP, RAR, etc. In terms of subjective perception of sound quality, which is decisive in the assessment of sound systems [12], it is possible to achieve (using the correct configuration of non-entropic audio codecs) such sound quality, which despite massive data reduction, can be subjectively perceived as virtually identical to the original [13–15]. It is therefore clear that for codecs used in the DAB/DAB+ system, there is no direct correlation between the bitrate and the sound quality. It always depends on the use of a particular coding algorithm.

In this paper, a complete overview of the DAB+ audio coding is provided. The main contribution of this paper is:

- The verification of the relationship of subjective perception of the sound quality and the bitrate level in the digital broadcasting chain, using concatenated audio coding algorithms. This was done by conducting original blind listening tests described in Sec. 3.
- The unique research analysis of the DAB+ codec configurations used in practice in individual European countries, the results of which are in conformity with the findings in Sec. 3.

The paper is organized in the following manner: Section 2 presents the description of the audio codecs used in DAB/DAB+. Section 3 provides the comparison of their efficiency and discusses the influences on the perception of sound quality in the environment of the digital broadcasting chain. Section 4 analyzes and discusses the practical application of DAB+ codec configurations in Europe and, finally, Section 5 concludes the paper.
but also DRM, DVB-T and DVB-S. MPEG 2 is also one of the audio formats used by DVD.

2.2 MP3

MP3, or MPEG-1 Audio Layer 3 [21], [17], is another audio codec of this standard. It was also introduced in 1993, but due to the high demands on hardware, its use began to expand gradually only from 1995. The core of its algorithm, as well as the psychoacoustic characteristics and the format structure, are based on the MPEG 2 codec. Unlike the MPEG 2 codec, MP3 is a transform codec operating in the frequency domain to which, after conversion from the time domain, it transforms the input signal in the form of 576 frequency components. This represents a significantly finer frequency resolution and thus the psychoacoustic model can be applied more selectively and more effectively. The higher efficiency of the MP3 algorithm is also due to the fact that the algorithm uses temporal masking [9] in addition to frequency masking. In temporal masking, the redundant signal components of closely consecutive sounds are eliminated. A strong signal masks the weak signal immediately following it (post-masking), but also the weak signal immediately preceding it (pre-masking). Both of these components, if they have parameters determined by the psychoacoustic model, cannot be recognized by human hearing and can therefore be eliminated without affecting the resulting perception of sound.

While MPEG 2 has become the standard for radio and television broadcasting, MP3 has become extremely popular in the areas of computers, media players and Internet applications, and has significantly influenced the evolution of the phonographic industry.

2.3 AAC

Advanced Audio Coding (AAC) [7], [22], [23] represents the state-of-the-art and currently also the most efficient audio codec for lossy digital compression. A number of companies participated in its development: AT&T Bell Laboratories, Fraunhofer Institute, Dolby Laboratories, Sony, Nokia, LG Electronics, NEC, NTT Docomo, ETRI, JVC Kenwood, Philips, Microsoft and Panasonic. The basic version of the AAC was first introduced in 1997 as a part of the MPEG-2 Part 7 standard (ISO/IEC 13818-7) [24]. In 1999, the whole family of improved AAC profiles became part of the MPEG-4 Part 3 standard (ISO/IEC 14496-3) [25]. AAC is implemented in the upgraded version of DAB (DAB+), but also in other digital radio and television platforms (DRM+, DVB-T2, DVB-S2) and in a variety of audio and audiovisual devices (iPhone, iPod, iPad, iTunes, Nintendo, PlayStation, etc.).

The base of the AAC audio codec family is the Low Complexity (LC) profile. It can be complemented by two superstructures: Spectral Band Replication (SBR) and Parametric Stereo (PS) that make its coding even more efficient. Depending on the combination of their use, they are referred to as HE-AAC v1 (AAC LC + SBR) or HE-AAC v2 (AAC LC + SBR + PS). The total bitrate of the HE-AAC codec is thus the sum of the bitrates of all of one or both superstructures, where both superstructures use a relatively small part of the data capacity. The resulting coding efficiency of HE-AAC v2 is then at least four times higher than that of the older codec MPEG 2. Using this combination, the subjetively perceived high sound quality can be achieved at 48 kbps.

2.3.1 AAC LC

Like its predecessor MP3, AAC is a transform codec operating in the frequency domain. AAC also uses the same encoding principles like MP3, but offers many improvements using a number of new additional encoding tools.

The basic profile of the AAC family (core codec) is referred to as Low Complexity (AAC LC) [7], [23]. The audio signal is converted from the time domain to the frequency domain using the Modified Discrete Cosine Transform (MDCT) method [26]. This is followed by quantization, which is based on a psychoacoustic model (similar to MPEG 2 and MP3) and then by data insertion for error correction. However, compared to MP3, the algorithm of AAC has a higher frequency resolution, improved joint stereo coding and improved lossless Huffman coding. Instead of a hybrid (cascaded) filter bank, which is used in MP3, AAC uses a standard overlapped MDCT filter bank and can use Temporal Noise Shaping (TNS) [27] to control the temporal shape of the quantization noise in the time domain. The data for this shaping are derived by predictive frequency domain signal analysis.

With many minor enhancements to increase encoding efficiency, AAC achieves on average the same sound quality as MP3 at approximately 70% bitrate. The difference is particularly evident at the low bitrates for which the AAC is primarily designed.

2.3.2 HE-AAC v1

The first superstructure of the AAC codec is Spectral Band Replication (SBR) [23], [28], [29], developed by Coding Technologies AB in 2001. The profile combining AAC LC and SBR is then referred to as High Efficiency AAC Version 1 (HE-AAC v1) [22], [23]. When the SBR is active, only the lower part of the frequency spectrum, which provides more information for sound perception [23], [30], is coded and transmitted. The core codec works at half the original sampling rate (which usually means at 24 kHz). Higher frequencies (usually above 12 kHz) are then coded using the SBR superstructure and the full bandwidth is reconstructed in the receiver decoder.

When coding audio much of the bitrate is used for the processing of high frequencies. From the psychoacoustic point of view, however, these are of relatively low importance, especially the last two octaves of the sound spectrum. The principle of SBR is based on this fact, which
is further based on the knowledge that there is a strong correlation between the lower and upper part of the audio spectrum (referred to as the "high band" and the "low band"). Thanks to this, the upper part of the frequency spectrum of the audio signal can be approximated by transposing its lower part and by recalculating the signal envelope. In SBR, the transposition is controlled by SBR data, such as the high band signal spectral envelope, that help reconstruct the spectral components in high band region.

During encoding, the input signal is analyzed and then the spectral envelope and the characteristics of the high band of the frequency spectrum in relation to the low band are calculated. The resulting SBR data are then multiplexed (together with the core stream data) into a single bitrate. The SBR data use a bitrate of only 1 kbps–3 kbps per audio channel.

During decoding, the common bitrate is first demultiplexed and then core decoding is performed. The SBR data are then applied to the resulting signal, controlling the Spectral Band Replication process. The result of this process is a full bandwidth signal. This method ensures partial backward compatibility, so that if such signal is decoded on AAC devices that are not equipped with SBR functionality, the signal will still be decodable. However, compared to a full SBR decoder, its frequency range will be limited to the low band of the spectrum corresponding to half the sampling frequency.

2.3.3 HE-AAC v2

This profile introduces the second superstructure of the AAC codec, Parametric Stereo (PS) [23], [30], [31], which dates from 2005. The mode, referred to as High Efficiency AAC Version 2 (HE-AAC v2), uses both superstructures SBR and PS. In this case, only monophonic sum signal of the left and right channel is encoded and additional stereo descriptive PS data are transmitted through the channel. The resulting stereo image is then reconstructed in the receiver decoder.

When coding a stereo signal, the stereo image is analyzed first and then converted to a parametric representation, which describes the time and phase differences and correlations between stereo channels. As a result, it is not necessary to encode and transmit both channels, but only their monaural representative, which is supplemented by the parameters necessary to reconstruct the original stereo image. The parametric PS data use a bitrate of only 2 kbps–3 kbps.

The advantage of the highest AAC profile is its modularity. The individual superstructures can be selectively deactivated, depending on the desired application and conditions, and the codec can be changed up to a basic full-bandwidth AAC LC profile with the independent channels. HE-AAC v2 is partially backward compatible with both HE-AAC v1 (at the cost of losing stereo information) and AAC LC (where bandwidth is limited in addition to the loss of the stereo image). However, the signal can be decoded in both cases.

2.4 The Use of the Codecs in Contemporary Environment

If we look at the codecs discussed from the perspective of their current use, we can say that:

- MPEG 2 performs the least invasive sound modification and at higher bitrates (above 256 kbps) provides high sound quality. It is advantageous where the bitrate is not the issue and where additional digital processing of audio and thus the concatenation of lossy codecs is expected. Typical example is rebroadcasting (broadcasting of content that will then be retransmitted).
- MP3 remains the dominant format for storing and sharing music by end users. It generally replaced previous personal music storage systems.
- AAC represents a modern alternative to MP3 for music use by the end users and is also a standard in contemporary digital broadcasting systems such as DAB+, DRM+ and DVB-T2. Its innovated version, High Efficiency AAC, is the state-of-the-art technology that offers high sound quality even at very low bitrates.

3. Comparison of the Efficiency of DAB / DAB+ Audio Codecs and their Influence on the Perception of Sound Quality

As can be seen from the descriptions of the individual audio codecs, they differ not only by the used coding algorithms, but also by their efficiency, i.e. by the degree of the bitrate reduction while maintaining similar subjectively perceived sound quality. Therefore, the bitrates used for audio coding can never be judged alone (in relation to the sound quality), but always only in connection with the particular type of audio codec used. Figure 2 shows a comparison of the bitrates necessary for the individual audio codecs to achieve the kind of sound quality that is subjectively perceived as similar to the original, uncompressed signal. While MP2 requires 192 kbps–256 kbps to achieve this, 128 kbps–192 kbps is enough for MP3, and the AAC LC codec only needs 96 kbps–128 kbps. If the SBR superstructure of HE-AAC v1 is active, 64 kbps–96 kbps is sufficient to achieve the same subjective results, if both SBR and PS superstructures of HE-AAC v2 are active, the required bitrate reduces to 48 kbps–64 kbps.

These assumptions were verified before the start of the regular DAB+ broadcasting of Czech Radio using the MUSHRA blind listening tests, in accordance with ITU-R Recommendation BS.1534-3 [12]. The tests [32] were conducted in May 2015 in the recording studio R1 (equipped with Studer Vista 9 mixing console, Digital Audio Workstation ProTools 10 and Genelec 1038 audio monitors). The listening panel [33] was composed of twenty
The overall results of the analysis (see Fig. 3) show that, in the European context, the HE-AAC v1 codec with SBR superstructure is used most frequently, in 82% of cases.
The HE-AAC v2 codec with both superstructures (SBR and PS) also has a significant 15% share. The basic profile of AAC (AAC LC) is used in only 3% of the cases.

On closer inspection, it can be seen that 84% of all radio stations are coded with one of the seven most common configurations. The most frequently used, with a very balanced share, are four HE-AAC v1 codec configurations with 96 kbps (20%), 72 kbps (16%), 88 kbps (16%) and 80 kbps (14%) bitrates, followed by the same codec with a bitrate of 64 kbps (9%), and two HE-AAC v2 codec configurations with 48 kbps (6%) and 64 kbps (4%) bitrates. As shown in Fig. 3, a further 28 audio codec configurations are used in practice for DAB+, but the frequency of their use is marginal and rarely reaches 1%.

These statistical results confirm that the state-of-the-art audio coding (HE-AAC v1, HE-AAC v2) is used for DAB+ dominantly, almost exclusively in practice. Despite low bitrates, it is used for broadcasting of all genres.

The results also show that the configurations of these codecs, across all European countries in the test, fall into the bitrate range where they provide maximum efficiency and sound quality, which is subjectively almost indistinguishable from the original sound. In the case of HE-AAC v1, it is a bitrate in the range of 64 kbps-96 kbps, as discussed in Sec. 3. We can see that in practice there is neither oversizing with higher bitrates, which is inefficient, nor undersizing with lower bitrates, where the interfering artifacts can already be perceived.

The differences in the use of specific bitrates (in the range of optimal bitrates), which is visible in individual countries, are influenced by the fragmentation of the individual national markets. The choice of the specific bitrate is then influenced mainly by the capacity of individual DAB+ networks and by the level of use of supplementary multimedia data. However, the different types of content broadcasted by radio stations in the DAB+ in the individual countries also have an effect. The complexity of broadcast content is decisive (classical music at the upper end of the bitrate range and spoken word at the lower).

The graphs also show the differences between countries in terms of uniformity. This is due to the different structure of the network operators and due to the balance of the number of private and public broadcasters. While, for example, France or Belgium have dominant operators, in the Netherlands or Denmark their composition is much more varied.

**4.2 Detailed View of the Individual European Countries**

Looking at the individual countries of the researched European group (Top 10), it can be seen that the approach to DAB+ audio coding is not uniform and that there are major differences. In principle, they can be divided into three groups. The first group includes the countries with one significantly dominant standard (France, Belgium). The second group includes the countries where strong preferences of several codec configurations are evident. These are mostly three to four variants (Norway, Switzerland, Germany, Great Britain, the Netherlands and Austria). The third group consists of countries where, despite the obvious preference of several dominant approaches, the audio coding is distributed among multiple configurations (Denmark, Italy).

The obvious dominant encoding in France is HE-AAC v1 with a bitrate of 88 kbps, which is used by 89% of radio stations. The remaining four configurations that occur there are marginal, each with less than 5% share (see Fig. 4).
A similar situation is in Belgium. The clearly dominant encoding there is HE-AAC v1 with a bitrate of 96 kbps, which is used by 88% of radio stations. The other four configurations are also marginal, again each with less than 5% share (see Fig. 5).

In Switzerland, 94% of radio stations are coded with one of the three most common configurations listed below. In all three cases the HE-AAC v1 codec is used. The most widely used bitrate is 72 kbps (55%), followed by 64 kbps (29%) and 80 kbps (11%). Seven other marginal configurations are in operation, but they always reach a maximum of 1% (see Fig. 6).

Similarly, 94% of radio stations in Austria are coded with one of the three most common configurations. The most used is the HE-AAC v1 codec with a bitrate of 72 kbps (68%), followed by the HE-AAC v2 codec with 40 kbps (18%) and the HE-AAC v1 codec with 80 kbps (8%). The remaining three configurations do not exceed 3% of each occurrence (see Fig. 7).

The preference of the three dominant configurations can also be observed in the Dutch DAB+ broadcasting. These cover 82% of all radio stations. The most commonly used codec is HE-AAC v1 with a bitrate of 64 kbps (46%), followed by HE-AAC v2 with 48 kbps (25%) and HE-AAC v1 with 96 kbps (11%). The remaining six configurations do not exceed 5% of each occurrence (see Fig. 8).

In Norway, 81% of DAB+ radio stations are encoded in one of the following four configurations. The codec HE-AAC v1 with a bitrate of 80 kbps (57%) is in the dominant position, followed by the same codec with 64 kbps (9%) and 96 kbps (9%). The fourth most common is the HE-AAC v2 codec with a bitrate of 48 kbps (6%). There are 15 other configurations in operation, but they do not exceed 3% of each occurrence (see Fig. 9). In addition to DAB+, the original version of DAB with the MPEG 2 codec is still in operation in Norway. Its occurrence is, however, very marginal.

In Germany, 83% of radio stations are coded with one of the four most common configurations as well. In all four cases the HE-AAC v1 codec is used. The most widely used bitrate is 96 kbps (35%), followed by 72 kbps (26%) and 80 kbps (14%). The fourth most commonly used is the 88 kbps (9%) bitrate. There are 17 other configurations in operation, but they do not exceed 4% of each occurrence (see Fig. 10).
The four dominant codecs can also be seen in Great Britain. They encode 87% of all DAB+ radio stations. The most used codec is HE-AAC v2 with a bitrate of 48 kbps (45%), followed by the HE-AAC v1 codec in mono mode with 32 kbps (17%) and two HE-AAC v2 codecs with the bitrates of 40 kbps (13%) and 32 kbps (12%) respectively. The remaining six configurations do not exceed 4% of each occurrence (see Fig. 11). In addition to DAB+, a significant number of radio stations in Great Britain still use the original version of DAB with the MPEG 2 codec.

In Denmark, DAB+ is dominated by five configurations, which, combined, cover 85% of all radio stations. Four of the most frequently used configurations utilize the HE-AAC v1 codec with a bitrate of 72 kbps (25%), 96 kbps (22%) and 88 kbps (19%). A smaller representation has the same codec with a bitrate of 128 kbps (11%) and the fifth most frequently used is the AAC LC codec with 96 kbps (8%). The remaining eight configurations do not exceed 4% of each occurrence (see Fig. 12).
The situation in Italy is even more diverse. Although there is a clear preference for two configurations, a total of seven different audio codec configurations are used to cover 82% of radio stations. The HE-AAC v1 codec with a bitrate of 96 kbps (33%) and HE-AAC v2 codec with a bitrate of 64 kbps (21%) hold a significant dominance. The following configurations are then: HE-AAC v1 with a bitrate of 64 kbps (7%), HE-AAC v2 with 48 kbps (6%) and two HE-AAC v1 codecs with 56 kbps (6%) and 48 kbps (5%), as well as the HE-AAC v2 codec with 32 kbps (4%). Ten other codec configurations are used, but they do not exceed 3% of each occurrence (see Fig. 13).

### 4.3 The Situation in the Czech Republic

In the Czech Republic (the country where the author is implementing the DAB+ system [13], [32]), the DAB+ broadcasting is not yet fully established, mainly due to the absence of the relevant legislation. Although this platform is operated by four network operators, the only DAB+ multiplex that works with permanent, finalized parameters is the public service multiplex of Czech Radio. It covers 95% of the population of the Czech Republic. This multiplex contains ten nationwide and ten regional radio stations. The HE-AAC v1 codec with a bitrate of 80 kbps is used to encode the radio stations with a high share of music. Mainstream radio stations are coded using HE-AAC v2 codec with a bitrate of 64 kbps and the radio stations with a high share of spoken word as well as regional stations are coded using HE-AAC v2 codec with 48 kbps (see Fig. 14).

5. Conclusion

The sound in digital radio broadcasting is inevitably associated with lossy psychoacoustic compression. Compression algorithms are constantly evolving and being improved. Currently, the most efficient and state-of-the-art audio codec is HE-AAC v2, i.e. AAC with SBR and PS superstructures. It is able to deliver high quality sound even with a bitrate of 48 kbps, which is approximately four times less than the oldest codec of this group (MPEG 2) needs to achieve the same quality. However, the bitrate itself has almost no predictive value when it comes to the resulting sound quality. The aforementioned MUSHRA blind tests (see Sec. 3) confirmed that the decisive influence is made by the correct combination of the chosen compression algorithm and the corresponding bitrate. When the recommended bitrates are used for the relevant audio codecs, high subjectively perceived sound quality is achieved in all instances. This is also confirmed by the statistical results of the research on the behavior of European network operators, presented in Sec. 4. This research also clearly shows that the most used configuration of the DAB+ codec in practice is HE-AAC v1 with a bitrate in the range of 64 kbps–96 kbps, which covers more than 75% of DAB+ broadcasting. Despite low bitrates, it is used for broadcasting of all genres. The results also show that the configurations of the codecs, across all European countries in the test, fall into the range of optimal bitrates (see Sec. 3), where they provide maximum efficiency and sound quality.

Because of the implementation of the DAB+ platform, the broadcasting chain, i.e. the path from a recording studio to listeners, is becoming completely digitalized. The broadcasting chain can be divided into several stages, the basic ones being: the production of distribution media, processing in broadcasting companies, processing by the output broadcast audio processor and the signal transmission itself. In each of these stages, the lossy encoding of the audio is applied as well as its lossy decoding. In the digital broadcasting chain, such a process is then concatenate-
nated several times, usually using various different algorithms. The examination of the DAB related audio codecs from the perspective of the entire broadcast system as a comprehensive specific environment has shown that the resulting subjectively perceived sound quality is affected by the following three key factors much more than by the bitrate level itself. The key factors are: 1) the technical quality of the input signal, 2) multiple cascading of the lossy non-entropic audio codecs within the entire digital broadcasting chain, and 3) the correct choice of codec configurations and their respective parameters.

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